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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First named inventor: Lawrence Kohls Group Art Unit: 2765
Application number: 09/228,909 Examiner: P. Kanof
Filed: 01/11/99
Title: Trading Systems for Fixed Value Contracts

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby wish to make of record the references listed on the accompanying PTO Form SB/08B for consideration by the Examiner in a further examination of the above-identified application pursuant to the Request for Continued Examination submitted herewith.

There are enclosed copies of documents that are believed to be representative of the prior art sought to be considered by the Examiner. Said documents were located, as being "archive" materials, at a website entitled Iowa Electronic Markets that is operated by the Henry B. Tippie College of Business at the University of Iowa. Said website may be found at the internet web address www.biz.uiowa.edu/iem.

Said Iowa Electronic Markets website lists a number of archived materials concerning trading events, available to the general public, that antedated the January 11, 1999 filing date of the above-identified application. Said website also includes current materials (including a detailed "trading manual") the original publication date of which is unknown to applicants, but which in its present form clearly post-dates the present application. While said website contains a reference to a "trading manual" in use prior to January 1999 (the filing date of the present application), said prior art "trading manual" is not available from said website.

Applicants therefore believe that the enclosed selection of "archive" materials from the Iowa Electronic Markets website is representative of the prior art materials uncovered by applicants, and includes descriptions of the prior art systems and their features that are pertinent to the continued examination of the present application.

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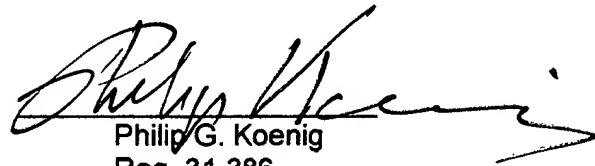
The enclosed prior art materials include:

1. Wall Street Journal article entitled "Iowa Market Takes Stock of Presidential Candidates", by Stanley W. Angrist, 08/25/1995;
2. Iowa Electronic Markets "prospectus" regarding 1990 Iowa and Illinois Senate races, with "description of prices file" for said election contest;
3. Iowa Electronic Markets "prospectus" regarding 1992 Presidential contest, with "description of price file" for said election contest;
4. Iowa Electronic Markets "prospectus" regarding a 1995 trading event concerning Microsoft Corporation common stock prices, with "description of prices file" for said election contest.

It is respectfully requested that each of the documents identified above and set forth in the attached form PTO 1449 be considered and made of record in a continued examination of the above-referenced application.

06/19/02
Date

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